

재무상태표(대차대조표)

제3기 3분기 2010년 12월 31일 현재

제2기 2010년 03월 31일 현재

제1기 2009년 03월 31일 현재

(단위 : 원)

과 목	제3기 3분기말	제2기말	제1기말
자산			
I. 현금및예치금	409,554,920,449	280,843,143,045	68,276,769,385
(1) 현금및현금성자산	141,891,625,579	184,129,632,937	28,334,769,385
1. 현금	415,894	220,913	
2. 보통예금	6,891,209,685	4,129,412,024	28,334,769,385
3. 기타예금	135,000,000,000	180,000,000,000	
(2) 예치금	267,663,294,870	96,713,510,108	39,942,000,000
1. 투자자예탁금별도예치금(예금)	139,000,000,000	1,700,000,000	430,000,000
집합투자증권투자자예수분	139,000,000,000	1,700,000,000	430,000,000
2. 투자자예탁금별도예치금(신탁)	115,000,000,000	90,000,000,000	39,500,000,000
일반예수금	65,000,000,000	65,000,000,000	30,000,000,000
장내파생상품거래분	50,000,000,000	25,000,000,000	9,500,000,000
3. 장기성예금	9,814,865,471	5,000,920,000	
4. 특정예금등	15,000,000	12,000,000	12,000,000
5. 기타예치금	3,833,429,399	590,108	
II. 유가증권	1,386,232,005,525	1,120,252,954,098	633,451,573,571
(1) 단기매매증권	1,250,014,743,509	1,094,644,976,088	615,467,324,183
1. 주식	59,786,834,748	14,951,673,530	12,906,512,240
2. 신주인수권증서			2,437,353,072
3. 국채지방채	165,388,382,347	42,218,946,985	48,005,879,707
4. 특수채	368,701,483,958	289,809,957,008	228,049,931,753
5. 회사채	648,115,192,565	747,656,439,430	313,555,941,045
6. 집합투자증권	7,722,000,000		2,880
기타집합투자증권	7,722,000,000		2,880
7. 기업어음증권	300,849,891		10,502,537,261
8. 외화증권		7,959,135	9,166,225
외화채권		7,959,135	9,166,225
(2) 매도가능증권	45,931,057,985	25,412,924,112	17,984,249,388
1. 주식	2,590,714,000	4,352,151,500	1,885,170,000
2. 출자금	1,000,000,000	1,000,000,000	
3. 집합투자증권	1,102,900,000	1,068,640,000	1,009,120,000
기타집합투자증권	1,102,900,000	1,068,640,000	1,009,120,000
4. 외화증권			15,089,959,388
외화채권			15,089,959,388

과 목	제3기 3분기말	제2기말	제1기말
5.기타	41,237,443,985	18,992,132,612	
(3)파생결합증권	90,286,204,031	195,053,898	
1.추가연계증권	90,034,423,250		
2.주식워런트증권	251,780,781	195,053,898	
III.파생상품	1,371,251,612	290,839,383	1,506,167,424
(1)장내파생상품	708,635,000		
1.매수옵션	708,635,000		
(2)장외파생상품	662,616,612	290,839,383	1,506,167,424
1.파생상품자산	662,616,612	290,839,383	1,506,167,424
통화			1,506,167,424
주식	662,616,612	148,239,595	
기타		142,599,788	
IV.대출채권	119,766,369,501	89,419,554,863	11,657,139,870
(1)신용공여금	108,099,794,706	70,121,266,358	11,715,718,463
1.신용거래응자금	34,520,456,555	11,379,664,511	2,765,891,563
2.증권담보대출금	73,579,338,151	58,741,601,847	8,949,826,900
(2)대출금		18,000,000,000	
(3)매입대출채권	10,000,000,000		
(4)사모사채	2,268,415,848	2,199,894,309	
(5)대손충당금	(601,841,053)	(901,605,804)	(58,578,593)
1.신용공여금대손충당금	(540,498,974)	(350,606,332)	(58,578,593)
2.대출금대손충당금		(540,000,000)	
3.매입대출채권대손충당금	(50,000,000)		
4.사모사채대손충당금	(11,342,079)	(10,999,472)	
V.유형자산	10,399,112,873	10,766,645,989	11,391,823,283
(1)비품	19,524,656,075	16,439,892,423	13,341,523,407
감가상각누계액	(9,125,543,202)	(5,673,246,434)	(1,949,700,124)
VI.기타자산	125,794,067,615	54,134,714,112	37,379,071,481
(1)미수금	70,208,710,290	5,353,335,083	975,773,831
1.자기매매미수금	68,985,301,750	3,645,287,115	517,975,800
주식미수금	11,874,643,500	2,381,994,115	268,233,800
장내파생상품미수금	830,861,250	1,263,293,000	249,742,000
기타자기매매미수금	56,279,797,000		
2.위탁매매미수금	1,219,359,777	28,996,318	451,310,171
주식미수금	1,219,359,777	28,948,676	451,310,171
장내파생상품미수금		47,642	
3.기타미수금	4,048,763	1,679,051,650	6,487,860
(2)미수수익	8,170,325,649	10,211,690,951	5,062,815,237
1.미수수료	496,712,220	379,523,100	304,122,392
미수수탁수수료	215,401,835	187,348,949	108,615,180
미수인수및주선수수료			50,000,000

과 목	제3기 3분기말	제2기말	제1기말
미수집합투자증권취급수수료	261,241,554	176,784,545	145,507,212
미수신탁보수	11,887,013		
기타	8,181,818	15,389,606	
2.미수이자	7,673,613,429	9,305,145,081	4,159,973,594
미수신용거래용이자	134,232,563	38,893,176	4,014,778
미수채권이자	5,722,547,081	5,860,126,636	2,901,502,485
기타	1,816,833,785	3,406,125,269	1,254,456,331
3.미수배당금		527,022,770	598,719,251
(3)선급금	4,099,447,631	2,996,025,538	3,792,224,312
1.채권경과이자	4,015,104,918	2,565,873,818	3,401,559,182
2.기타선급금	84,342,713	430,151,720	390,665,130
(4)선급비용	447,527,764	479,030,980	264,717,167
(5)선급법인세	5,485,013,780		
(6)보증금	21,734,309,300	19,591,809,300	14,082,175,300
1.임차보증금	21,732,309,300	19,589,809,300	14,080,175,300
2.회원보증금	2,000,000	2,000,000	2,000,000
(7)손해배상공동기금	1,708,567,650	1,421,318,590	1,095,588,313
1.증권시장공동기금	803,358,190	703,760,150	569,877,365
2.파생상품시장공동기금	905,209,460	717,558,440	525,710,948
(8)무형자산	6,161,053,509	7,087,918,055	7,065,951,547
1.영업권	1,401,000,000	1,821,300,000	2,381,700,000
2.개발비	3,178,705,000	3,329,103,633	2,636,126,667
3.소프트웨어	1,581,348,509	1,937,514,422	2,048,124,880
(9)이연법인세자산	1,620,305,183	839,014,445	
(10)기타	6,205,775,530	6,205,775,530	5,067,602,340
(11)대손충당금	(46,968,671)	(51,204,360)	(27,776,566)
1.미수금대손충당금	(6,117,043)	(145,905)	(2,462,490)
2.미수수익대손충당금	(40,851,628)	(51,058,455)	(25,314,076)
미수수료대손충당금	(2,483,561)	(1,897,616)	(1,520,612)
미수이자대손충당금	(38,368,067)	(46,525,725)	(20,799,868)
미수배당금대손충당금		(2,635,114)	(2,993,596)
자산총계	2,053,117,727,575	1,555,707,851,490	763,662,545,014
부채			
I.예수부채	227,675,610,033	84,621,711,870	43,276,723,490
(1)투자자예수금	227,675,610,033	84,621,711,870	43,276,723,490
1.위탁자예수금	70,411,834,587	60,102,271,393	35,034,901,750
2.장내파생상품거래예수금	18,362,352,239	21,538,770,169	7,419,468,714
3.저축자예수금	278,262	5,413,440	400,015,735
4.집합투자증권투자자예수금	138,901,144,945	2,975,256,868	422,337,291
II.차입부채	1,359,806,905,804	1,058,902,926,384	424,467,565,522
(1)클레디	202,900,000,000	173,100,000,000	37,800,000,000

과 목	제3기 3분기말	제2기말	제1기말
(2)환매조건부매도	816,531,175,144	671,427,882,683	386,667,565,522
(3)매도유가증권	182,960,250,000	153,336,750,000	
1.국채지방채	182,960,250,000	153,336,750,000	
(4)매도파생결합증권	107,342,090,105	11,210,896,685	
1.매도주가연계증권	105,756,056,105	11,210,896,685	
2.매도주식워런트증권	1,586,034,000		
(5)파생상품	201,707,706		
1.장내파생상품	100,800,000		
매도옵션	100,800,000		
2.장외파생상품	100,907,706		
파생상품부채-이자율	100,907,706		
(6)사채	50,000,000,000	50,000,000,000	
(7)사채발행차금	(128,317,151)	(172,602,984)	
III.기타부채	79,281,982,263	21,518,042,868	5,251,224,808
(1)퇴직급여충당부채	3,222,215,489	2,274,940,084	
(2)미지급금	64,179,546,849	1,942,029,633	724,467,290
(3)미지급비용	7,767,532,648	14,700,708,984	2,784,470,603
(4)임대보증금	20,122,723	20,122,723	20,122,723
(5)선수수익	1,747,947,175	528,338,432	1,052,029,517
(6)제세금예수금	1,799,971,804	1,794,299,426	525,134,828
(7)기타	544,645,575	257,603,586	144,999,847
부채총계	1,666,764,498,100	1,165,042,681,122	472,995,513,820
자본			
I.자본금	377,000,000,000	377,000,000,000	300,000,000,000
(1)보통주자본금	377,000,000,000	377,000,000,000	300,000,000,000
II.자본잉여금	15,064,386,677	15,064,386,677	
(1)주식발행초과금	15,064,386,677	15,064,386,677	
III.자본조정			(4,375,092,720)
(1)주식할인발행차금			(4,375,092,720)
IV.기타포괄손익누계액	2,112,938,274	256,528,596	1,529,651,182
(1)매도가능증권평가이익	2,541,022,165	739,577,316	111,012,347
1.주식	9,041,424	56,564,613	
2.집합투자증권	77,998,200	52,029,120	9,120,000
3.외화증권			101,892,347
외화채권			101,892,347
4.기타	2,453,982,541	630,983,583	
(2)매도가능증권평가손실	(428,083,891)	(483,048,720)	(113,160,300)
1.주식	(428,083,891)	(381,065,842)	(113,160,300)
2.기타		(101,982,878)	
(3)현금흐름위험회피파생상품평가이익			1,531,799,135
V.이익잉여금(결손금)	(7,824,095,476)	(1,655,744,905)	(6,487,527,268)

과 목	제3기 3분기말	제2기말	제1기말
(1)미처분이익잉여금(미처리결손금)	(7,824,095,476)	(1,655,744,905)	(6,487,527,268)
자본총계	386,353,229,475	390,665,170,368	290,667,031,194
부채와자본총계	2,053,117,727,575	1,555,707,851,490	763,662,545,014
각주사항			
I.채무보증	182,600,000,000	113,800,000,000	
(1)매입보장약정	182,600,000,000	113,800,000,000	
II.투자자의예수증권등	8,109,540,394,726	6,608,927,831,022	3,752,458,451,098
(1)예수유가증권	8,109,540,394,726	6,608,927,831,022	3,752,458,451,098
1.위탁자유가증권	4,730,783,616,334	3,726,460,989,937	1,905,182,134,658
2.저축자유가증권	368,462,451	390,903,493	2,679,877,620
3.수익자유가증권	3,378,388,315,941	2,882,075,937,592	1,844,596,438,820
III.파생상품계약내역	320,241,316,675	158,785,800,000	56,718,200,000
(1)장내파생상품	210,098,316,675	141,174,800,000	37,438,800,000
1.이자율관련거래	22,748,000,000	140,335,000,000	31,694,400,000
이자율선물	22,748,000,000	140,335,000,000	31,694,400,000
2.통화관련거래	3,747,480,000	283,175,000	
통화선물	3,747,480,000	283,175,000	
3.주식관련거래	164,883,947,740	556,625,000	5,744,400,000
주식선물	26,549,928,740	556,625,000	5,744,400,000
주식옵션	138,334,019,000		
매입주식옵션	97,655,519,000		
매도주식옵션	40,678,500,000		
4.일반상품관련거래	18,718,888,935		
일반상품선물	18,718,888,935		
(2)장외파생상품	110,143,000,000	17,611,000,000	19,279,400,000
1.이자율관련거래	100,000,000,000		
이자율스왑	100,000,000,000		
2.통화관련거래			19,279,400,000
통화스왑			19,279,400,000
3.주식관련거래	10,143,000,000	6,303,000,000	
주식옵션	10,143,000,000	6,303,000,000	
매입주식옵션	10,143,000,000	6,303,000,000	
4.기타		11,308,000,000	
기타스왑		11,308,000,000	
IV.대차및대주거래	42,975,660,000		
(1)차입증권	42,975,660,000		
1.주식	1,735,460,000		
2.국공채	41,240,200,000		