

## 손익계산서

제3기 3분기 2010년 04월 01일 부터 2010년 12월 31일 까지

제2기 3분기 2009년 04월 01일 부터 2009년 12월 31일 까지

제2기            2009년 04월 01일 부터 2010년 03월 31일 까지

제1기            2008년 05월 29일 부터 2009년 03월 31일 까지

(단위 : 원)

과 목	제3기 3분기		제2기 3분기		제2기 연간	제1기 연간
	3개월	누적	3개월	누적		
I. 영업수익	86,803,078,162	187,876,998,973	40,259,513,426	126,144,815,142	170,875,521,061	85,033,077,193
1. 수수료수익	14,956,888,672	34,659,668,436	8,060,349,662	25,419,539,239	34,599,603,227	8,752,360,576
가. 수탁수수료	8,722,487,240	22,554,826,028	5,088,872,134	17,023,279,248	23,386,170,349	4,519,664,155
유가증권시장	6,283,046,247	16,068,477,540	3,360,675,950	11,615,881,710	15,221,313,737	3,388,477,710
코스닥시장	1,528,852,335	4,168,204,563	961,501,610	4,044,146,290	6,004,215,060	970,810,070
파생상품시장	822,613,210	2,096,038,190	695,900,250	1,159,271,000	1,896,523,450	120,087,130
채권장외중개	87,418,518	219,519,625	70,699,054	203,018,398	260,679,372	40,181,875
기타	556,930	2,586,110	95,270	961,850	3,438,730	107,370
나. 인수및추선수수료	3,521,630,366	5,901,214,394	811,322,425	2,898,380,823	4,140,331,267	1,225,505,279
원화증권	3,521,630,366	5,901,214,394	811,322,425	2,898,380,823	4,140,331,267	1,159,081,308
외화증권						66,423,971
다. 집합투자증권취급수수료	781,860,186	2,229,157,156	480,503,858	1,361,857,746	2,138,550,067	584,781,372
판매수수료	195,016,548	596,209,393	144,822,936	513,464,593	878,609,038	194,924,942
판매보수	586,843,638	1,632,947,763	335,680,922	848,393,153	1,259,941,029	389,856,430
라. 매수및합법수수료				400,000,000	400,000,000	
매수합병중개수수료				300,000,000	300,000,000	
구조조정및금융상담수수료				100,000,000	100,000,000	
마. 파생결합증권판매수수료	288,186,900	693,358,213			196,202,814	
주가연계증권판매수수료	288,186,900	693,358,213			196,202,814	
바. 신탁보수	427,591,972	469,021,346				
금전신탁	427,591,972	469,021,346				
사. 기타	1,215,132,008	2,812,091,299	1,679,651,245	3,736,021,422	4,338,348,730	2,422,409,770
2. 유가증권평가및처분이익	34,486,889,449	61,363,990,988	10,714,542,134	36,554,745,417	48,462,793,399	25,436,418,451
가. 단기매매증권처분이익	16,380,640,614	34,341,905,961	8,273,029,576	32,845,715,604	43,080,588,882	18,302,000,691
주식처분이익	12,212,649,125	19,569,162,385	4,715,640,375	18,883,144,812	25,433,724,959	6,646,351,305
신주인수권증서처분이익		227,572,555	80,232,791	5,121,885,415	5,174,799,315	56,479,500
채권처분이익	3,756,148,623	13,680,815,668	3,311,100,890	8,210,755,579	11,649,540,076	11,263,461,743
집합투자증권처분이익	5,812,266	14,120,399	2,792,050	9,451,819	11,628,422	115,102,271
기업어음증권처분이익	406,030,600	848,751,473	163,261,517	620,474,995	810,880,621	158,750,445
단기매매증권상환이익		1,483,481	1,953	2,984	15,489	61,855,427
나. 단기매매증권평가이익	511,626,875	3,757,290,524	1,765,467,758	2,297,916,451	4,485,616,155	4,734,583,035
주식평가이익		2,198,454,386	627,658,631	1,633,274,880	734,411,152	1,074,357,599
신주인수권평가이익			70,768,118	77,183,198		1,984,752,292
채권평가이익		1,046,359,372	1,065,874,097	579,190,493	3,751,205,003	1,672,935,633
집합투자증권평가이익	511,626,875	511,626,875				250
기업어음증권평가이익		849,891	1,166,912	8,267,880		2,537,261
다. 매도가능증권처분이익	1,857,664,925	2,564,094,431		720,865,281	720,865,281	2,399,834,725
주식처분이익	116,376,323	183,185,323		215,058,935	215,058,935	2,399,834,725
채권처분이익				505,806,346	505,806,346	

과 목	제3기 3분기		제2기 3분기		제2기 연간	제1기 연간
	3개월	누적	3개월	누적		
기타	1,741,288,602	2,380,909,108				
라.매도유가증권평가이익	1,656,970,000	1,469,800,000	676,025,000	676,025,000	161,500,000	
채권평가이익	1,656,970,000	1,469,800,000	676,025,000	676,025,000	161,500,000	
마.파생결합증권처분이익	1,688,613	1,690,338	19,800	14,223,081	14,223,081	
주식워런트증권처분이익	1,688,613	1,690,338	19,800	14,223,081	14,223,081	
바.파생결합증권평가이익	2,514,755,553	4,209,848,896				
주가연계증권평가이익	2,514,755,553	4,159,245,513				
주식워런트증권평가이익		50,603,383				
사.파생결합증권상환이익	1,955,441,861	1,986,918,581				
주가연계증권상환이익	1,955,441,861	1,986,918,581				
아.매도파생결합증권평가이익	535,329,116	867,343,316				
매도주가연계증권평가이익	364,624,915	680,480,975				
매도주식워런트증권평가이익	170,704,201	186,862,341				
자.매도파생결합증권상환이익	9,072,771,892	12,165,098,941				
매도주가연계증권상환이익	7,248,000	7,338,136				
매도주식워런트증권상환이익	9,065,523,892	12,157,760,805				
3.파생상품거래이익	17,903,139,239	36,532,903,523	8,539,695,167	27,952,108,896	34,999,940,358	28,476,742,307
가.장내파생상품거래이익	17,451,508,226	36,027,204,214	8,405,695,167	24,443,999,316	31,349,230,990	28,476,742,307
선물매매이익	8,723,707,360	21,381,409,868	3,627,723,031	13,884,167,178	17,437,803,959	22,386,190,428
선물정산이익	681,179,087	96,553,024	27,527,292	40,557,292		159,715,495
해외선물거래이익	4,635,988,245	5,448,857,661	38,920,644	68,442,133	68,442,133	
옵션매매이익	3,240,243,800	8,987,337,663	4,698,097,222	10,432,928,108	13,842,984,898	5,930,836,384
옵션평가이익	170,389,714	113,045,978	13,426,978	17,904,605		
나.장외파생상품거래이익	451,631,013	505,699,309	134,000,000	3,508,109,580	3,650,709,368	
장외파생상품매매이익	4,080,995	77,080,995	134,000,000	3,508,109,580	3,508,109,580	
장외파생상품평가이익	447,550,018	428,618,314			142,599,788	
4.이자수익	18,217,433,802	52,035,014,313	12,300,520,107	33,942,757,512	49,188,735,564	20,710,773,722
가.신용공여이자	1,918,146,246	5,070,190,614	730,056,557	1,383,326,971	2,755,350,845	309,014,290
신용거래용자이자	568,634,118	1,303,008,603	174,001,456	425,597,618	624,280,511	53,237,429
예탁증권담보대출이자	1,349,512,128	3,767,182,011	556,055,101	957,729,353	2,131,070,334	255,776,861
나.매입대출채권이자	235,068,491	803,835,612				
다.대출금이자		863,013,697	21,698,630	21,698,630	530,630,135	
라.채권이자	13,433,892,194	38,574,849,366	9,811,590,146	28,376,430,475	39,888,612,122	14,050,977,679
마.기업어음증권이자	32,139,984	69,375,593	59,103,752	730,807,277	757,453,360	56,534,903
바.증금예치금이자	425,463,986	743,870,436	110,347,049	361,953,517	494,650,053	309,095,820
사.금융기관예치금이자	723,287	3,708,627				
아.콜론이자	246,265,069	256,244,518	2,876,711	59,651,721	59,651,721	590,669,566
자.양도성예금증서거래이익		247,159	1,229,481	1,229,481	1,988,421	460,606
차.예금이자	1,785,511,045	5,239,984,995	1,512,961,122	2,807,413,868	4,423,938,393	5,325,227,622
카.미수금이자	39,424,071	102,793,503	10,686,719	35,742,459	50,432,491	12,607,793
타.기타	100,799,429	306,900,193	39,969,940	164,503,113	226,028,023	56,185,443
5.대출채권평가및처분이익		299,764,751				
가.대손충당금환입		299,764,751				
6.외환거래이익	9,724,053	23,989,369			18,547	16,191,256
가.외환차익	8,277,372	22,088,034			18,547	16,004,217
나.외화환산이익	1,446,681	1,901,335				187,039
7.기타영업수익	1,229,002,947	2,961,667,593	644,406,356	2,275,664,078	3,624,429,966	1,640,590,881

과 목	제3기 3분기		제2기 3분기		제2기 연간	제1기 연간
	3개월	누적	3개월	누적		
가. 배당금수익	5,870,475	82,716,069		23,686,925	663,349,865	730,647,115
나. 별도예치금평가이익	1,210,964,211	2,874,715,815	644,406,356	2,251,977,153	2,961,080,101	909,943,766
다. 기타대손충당금환입	12,168,261	4,235,669				
II. 영업비용	89,193,294,171	195,436,677,929	42,220,268,948	120,632,803,155	166,970,625,164	91,501,737,303
1. 수수료비용	1,600,429,120	3,710,775,492	394,580,959	1,559,179,964	2,216,972,062	654,113,200
가. 매매수수료	602,104,774	1,312,502,281	119,168,918	889,186,469	1,177,959,019	248,547,336
국내분	421,197,439	1,091,774,310	118,705,633	887,813,450	1,176,586,000	248,547,336
해외분	180,907,335	220,727,971	463,285	1,373,019	1,373,019	
나. 투자상담사수수료			1,233,733	16,377,234	16,377,234	3,990,254
다. 기타수수료비용	998,324,346	2,398,273,211	274,178,308	653,616,261	1,022,635,809	401,575,610
2. 유가증권평가및처분손실	33,250,977,333	58,412,465,805	8,220,594,856	20,088,643,178	27,587,321,957	12,585,419,797
가. 단기매매증권매매손실	11,438,270,172	32,956,526,141	8,205,293,956	17,058,033,866	24,692,273,077	11,551,168,065
주식처분손실	3,946,477,509	11,298,774,018	3,110,718,586	6,440,656,666	9,628,378,602	7,967,721,905
신주인수권증서처분손실			28,571,300	41,616,674	41,616,674	
채권처분손실	6,752,173,862	19,282,133,451	4,523,668,966	9,727,306,157	13,560,319,244	3,557,318,115
집합투자증권처분손실	4,914,559	14,482,216	2,908,441	7,210,535	11,586,584	3,577,169
기업어음증권처분손실				2,556,136	2,556,136	
단기매매증권상환손실	734,704,242	2,361,136,456	539,426,573	838,687,698	1,447,815,837	22,550,876
나. 단기매매증권평가손실	7,054,324,922	4,203,670,951		2,777,002,796	2,203,855,097	782,676,082
주식평가손실	3,908,793,409	356,427,826		248,864,163	586,426,966	39,217,419
채권평가손실	3,145,116,165	3,847,243,125		2,528,138,633	1,617,428,131	743,458,663
기업어음증권평가손실	415,348					
다. 매도가능증권처분손실	135,914,286	666,675,504		232,100,010	232,100,010	
주식처분손실	135,914,286	666,675,504				
채권처분손실				232,100,010	232,100,010	
라. 유가증권감액손실		870		1,275	1,275	
마. 매도유가증권평가손실		1,050,000	15,188,700		282,041,666	
주식평가손실			15,188,700			
채권평가손실		1,050,000			282,041,666	
바. 파생결합증권처분손실	2,597,233	2,611,638	112,200	21,505,231	21,505,231	251,575,650
주식워런트증권처분손실	2,597,233	2,611,638	112,200	21,505,231	21,505,231	251,575,650
사. 파생결합증권평가손실	421,181,525	687,484,273			49,946,102	
주가연계증권평가손실	365,185,852	680,480,973				
주식워런트평가손실	55,995,673	7,003,300			49,946,102	
아. 파생결합증권상환손실	7,248,000	7,248,000				
주가연계증권상환손실	7,248,000	7,248,000				
자. 매도파생결합증권평가손실	2,879,581,165	5,133,250,563			105,599,499	
매도주가연계증권평가손실	2,848,772,296	5,101,317,915			105,599,499	
매도주식워런트증권평가손실	30,808,869	31,932,648				
차. 매도파생결합증권상환손실	11,311,860,030	14,753,947,865				
매도주가연계증권상환손실	1,959,876,666	1,992,610,977				
매도주식워런트증권상환손실	9,351,983,364	12,761,336,888				
3. 파생상품거래손실	22,465,339,173	44,609,487,981	9,797,933,269	29,421,148,322	34,538,147,778	28,884,501,955
가. 장내파생상품거래손실	22,421,339,173	44,448,086,978	9,519,816,321	29,223,844,949	34,351,808,123	28,884,501,955
선물매매손실	15,380,046,967	29,449,917,840	4,278,434,205	17,983,296,452	20,552,971,947	22,919,124,742
선물정산손실		1,658,451,552	619,411,118	776,161,118	286,534,612	562,790,829
해외선물거래손실	3,701,384,692	4,879,201,925	38,870,798	106,802,666	106,802,666	

과 목	제3기 3분기		제2기 3분기		제2기 연간	제1기 연간
	3개월	누적	3개월	누적		
옵션매매손실	3,339,907,514	8,365,306,397	4,574,921,964	10,344,175,206	13,405,498,898	5,402,586,384
옵션평가손실		95,209,264	8,178,236	13,409,507		
나. 장외파생상품거래손실	44,000,000	161,401,003	278,116,948	197,303,373	186,339,655	
장외파생상품처분손실	44,000,000	50,000,000	123,000,000	123,428,750	123,428,750	
장외파생상품평가손실		111,401,003	155,116,948	73,874,623	62,910,905	
4. 이자비용	9,198,591,318	24,298,498,899	4,951,872,303	13,751,732,844	19,992,608,395	7,745,993,140
가. 차입금이자	377,545,953	425,916,155	13,223,767	239,473,418	272,258,142	1,098,081
증금차입금이자	377,545,953	425,916,155	13,223,767	239,473,418	272,258,142	1,098,081
나. 투자자예탁금이용료	365,905,543	884,280,966	160,332,974	387,733,439	582,097,896	192,562,583
다. 환매조건부채권매도이자	5,546,692,882	15,509,706,438	3,651,098,633	10,883,898,846	15,092,965,616	6,465,214,363
라. 콜머니이자	2,044,488,078	5,127,275,948	1,056,389,531	2,024,616,182	3,325,450,988	1,046,942,801
마. 사채이자	748,104,067	2,235,464,577			503,824,794	
바. 기타	115,854,795	115,854,795	70,827,398	216,010,959	216,010,959	40,175,312
5. 대출채권평가및처분손실	129,329,339		318,075,092	389,414,595	843,027,211	58,578,593
가. 대손상각비	129,329,339		318,075,092	389,414,595	843,027,211	58,578,593
6. 외환거래손실	22,202,056	99,642,662	6,690,397	331,900,472	344,475,332	70,819,712
가. 외환차손	297,913	10,280,675	35,700	302,603,953	342,716,846	70,819,712
나. 외화환산손실	21,904,143	89,361,987	6,654,697	29,296,519	1,758,486	
7. 판매비와관리비	22,526,425,832	64,305,807,090	18,527,768,363	55,078,642,154	81,424,644,635	41,474,534,340
가. 급여	9,933,462,496	29,624,785,827	8,264,915,142	27,253,435,400	41,602,924,728	18,178,252,434
나. 퇴직급여	797,552,045	2,140,011,013	704,270,943	2,716,970,480	3,297,609,121	
다. 복리후생비	1,767,487,259	4,962,064,483	1,225,131,277	3,342,673,469	5,726,372,387	2,042,438,223
라. 전산운용비	2,399,470,258	6,511,703,189	1,942,175,984	5,331,851,425	7,445,258,167	3,773,454,887
마. 임차료	2,109,863,146	6,153,071,987	1,739,906,214	4,993,235,601	6,844,169,824	4,377,057,913
바. 지급수수료	374,060,794	995,386,872	580,657,925	1,079,666,323	1,294,370,642	3,980,020,957
사. 접대비	759,414,499	2,092,004,492	619,854,257	1,569,179,129	2,223,868,696	1,215,262,868
아. 광고선전비	182,918,430	648,572,004	418,770,352	865,358,541	1,421,790,461	2,258,195,984
자. 감가상각비	1,215,235,290	3,476,746,768	957,768,130	2,709,620,151	3,724,352,018	1,951,736,843
차. 연수비	54,309,485	144,256,138	56,072,058	126,991,605	327,129,085	211,453,121
카. 무형자산상각비	553,304,524	1,625,752,263	498,802,018	1,294,383,187	1,802,494,412	792,447,628
타. 세금과공과금	1,335,457,602	2,894,944,570	600,647,628	1,523,914,935	2,461,020,192	839,283,142
파. 판매부대비	5,244,400	30,703,600	90,600	90,600	35,046,050	
하. 등기소송비및공고비	924,000	4,818,000		5,238,300	6,162,300	
거. 회의비	10,207,420	17,070,170	10,137,341	23,955,091	30,255,991	19,070,870
너. 인쇄비	135,359,138	381,816,452	132,364,414	291,636,109	431,353,194	209,489,341
더. 여비교통비	181,311,024	454,480,962	75,987,719	192,872,130	321,879,901	80,692,047
러. 차량유지비	71,532,449	222,202,540	67,392,118	174,813,903	237,072,302	134,855,682
머. 소모품비	30,232,496	105,820,947	39,241,540	117,145,990	165,969,888	163,144,589
버. 보험료	63,427,883	166,053,905	44,323,280	118,192,340	173,213,398	90,873,798
서. 행사비	38,390,255	196,709,282	143,267,410	235,040,687	325,401,466	385,676,566
어. 기타	507,260,939	1,456,831,626	405,992,033	1,112,376,758	1,526,930,412	771,127,447
8. 기타영업비용			2,753,689	12,141,626	23,427,794	27,776,566
가. 기타대손상각비			2,753,689	12,141,626	23,427,794	27,776,566
III. 영업이익(손실)	(2,390,216,009)	(7,559,678,956)	(1,960,755,522)	5,512,011,987	3,904,895,897	(6,468,660,110)
IV. 영업외수익	18,931,443	46,140,150	10,097,636	31,178,756	41,955,724	25,779,537
1. 임대료	9,659,136	28,234,368	9,287,616	28,002,363	37,289,979	24,788,387
2. 기타	9,272,307	17,905,782	810,020	3,176,393	4,665,745	991,150

과 목	제3기 3분기		제2기 3분기		제2기 연간	제1기 연간
	3개월	누적	3개월	누적		
V. 영업외비용	10	28,782,109	19,333,720	35,889,204	35,983,334	44,646,695
1.유형자산처분손실		24,450,000		3,831,663	3,831,663	44,190,781
2.기부금		4,286,000		720,000	720,000	
3.기타	10	46,109	19,333,720	31,337,541	31,431,671	455,914
VI. 법인세비용차감전계속사업이익(손실)	(2,371,284,576)	(7,542,320,915)	(1,969,991,606)	5,507,301,539	3,910,868,287	(6,487,527,268)
VII. 법인세비용	(405,119,500)	(1,373,970,344)	(322,362,412)	(681,553,812)	(920,914,076)	
VIII. 당기순이익(손실)	(1,966,165,076)	(6,168,350,571)	(1,647,629,194)	6,188,855,351	4,831,782,363	(6,487,527,268)
IX. 주당손익						
1.기본및희석주당순이익(손실)	(26)원	(82)원	(22)원	84원	65원	(108)원